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The influence of probe level on the tuning of stimulus frequency otoacoustic emissions and behavioral test in human

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Abstract

Background: Frequency selectivity (FS) of the auditory system is established at the level of the cochlea and it is important for the perception of complex sounds. Although direct measurements of cochlear FS require surgical preparation, it can also be estimated with the measurements of otoacoustic emissions or behavioral tests, including stimulus frequency otoacoustic emission suppression tuning curves (SFOAE STCs) or psychophysical tuning curves (PTCs). These two methods result in similar estimates of FS at low probe levels. As the compressive nonlinearity of cochlea is strongly dependent on the stimulus intensity, the sharpness of tuning curves which is relevant to the cochlear nonlinearity will change as a function of probe level. The present study aims to investigate the influence of different probe levels on the relationship between SFOAE STCs and PTCs.

Methods: The study included 15 young subjects with normal hearing. SFOAE STCs and PTCs were recorded at low and moderate probe levels for frequencies centred at 1, 2, and 4 kHz. The ratio or the difference of the characteristic parameters between the two methods was calculated at each probe level. The effect of probe level on the ratio or the difference between the parameters of SFOAE STCs and PTCs was then statistically analysed.

Results: The tuning of SFOAE STCs was significantly positively correlated with the tuning of the PTCs at both low and moderate probe levels; yet, at the moderate probe level, the SFOAE STCs were consistently broader than the PTCs. The mean ratio of sharpness of tuning at low probe levels was constantly around 1 while around 1.5 at moderate probe levels.

Conclusions: Probe level had a significant effect on the sharpness of tuning between the two methods of estimating FS. SFOAE STC seems a good alternative measurement of PTC for FS assessment at low probe levels. At moderate probe levels, SFOAE STC and PTC were not equivalent measures of the FS in terms of their bandwidths. Because SFOAE STCs are not biased by higher levels auditory processing, they may represent cochlear FS better than PTCs.

Keywords: Frequency selectivity (FS), Stimulus frequency otoacoustic emission suppression tuning curves (SFOAE STCs), Psychophysical tuning curves (PTCs), Probe level



Background

Frequency selectivity (FS), an ability of decomposing frequency components of a complex stimulus, plays a crucial role in the auditory perception [1]. It can be assessed noninvasively in humans by measurements of psychophysical tuning curves (PTCs), where the masked threshold for a fixed tone is tracked across a range of masker frequencies [2-4]. To avoid the influence of beat detection, a narrow band noise rather than a tone is adopted as a masker in PTCs [3, 5]. Although PTC can evaluate FS quickly [6-8], its utilization is hampered within non-responsive populations by its subjectivity and strong dependence on subjects' cooperation. Despite such shortcomings, PTCs have frequently been used to assess FS in patients with sensorineural hearing impairment [9-11]. And the sharpness of PTCs measured in the patients with cochlear hearing loss are usually indicating worsened FS [12]. In order to compare the FS in normal-hearing and hearing-impaired subjects, PTCs at higher probe levels are generally necessary. Thus, many researchers investigated the effect of increasing probe level on the sharpness of PTCs in normal-hearing subjects, but the results seemed inconsistent. Broader tuning of PTCs was observed at higher probe levels in some investigations [13-15]. However, Stelmachowcz et al. reported that the tuning of PTCs characterized by Q_{10} , which was the ratio of the tip frequency (f_{tip}) and the bandwidth at 10 dB above the tuning curve tip (BW10), increased with probe levels ranging from 20 to 50 dB sound pressure level (SPL) and then kept stably over 50 dB SPL [16]. Regardless of the uncertainty of the tendency for PTCs' tuning at higher probe levels, PTCs' subjectivity remains a limitation for clinical use and an objective method for FS estimate is required.

Stimulus frequency otoacoustic emission (SFOAE) is an acoustic emission evoked by a tone at a single frequency, and generally considered as the result of activities of the cochlear mechano-electrical transducer [17]. It can be evoked within wide range of frequencies for subjects with normal hearing or moderate hearing impairment [18]. In 1980, Kemp et al. found that fully suppressed SFOAE suppression tuning curve (STC) matched closely the tone-on-tone total masking PTC, and firstly predicted that SFOAE STCs might be used to evaluate cochlear function objectively [19]. Since their pioneering work, despite the complexity of SFOAE extraction [20-22] and uncertainty about the mechanism of SFOAE generation [23-25], SFOAE STCs are regarded as reflecting the auditory tuning in some laboratory species [26, 27] and behavioural tuning in humans [28-30]. Additionally, the effect of probe level on the tuning of SFOAE STCs and other types of measurement for FS based on SFOAEs (e.g., SFOAE group delays) were observed in many investigations [27-29, 31]. Keefe et al. measured SFOAE STCs in humans at probe levels of 20-60 dB SPL and reported that the quality factor based on the equivalent rectangular bandwidth (Q_{ERB} , indicating the tuning sharpness) of SFOAE STCs varied little with probe level changing [28]. However, Charaziak et al. showed that the sharpness of SFOAE STCs decreased with increasing probe level from 10 to 30 dB sensation level (SL) at 4 kHz, but not at 1 kHz in humans [29]. The tuning predicted from SFOAE group delay decreasing with increasing probe level from 40 to 70 dB SPL in humans was reported by Schairer et al. [31]. For laboratory species, Cheatham et al. reported that SFOAE STCs in wild-type mice might get broader at moderate probe levels [26]. The decreasing in sharpness of SFOAE STCs tuning with increasing probe level was also observed in chinchillas, but the Q_{10} derived from SFOAEs group delay

in chinchillas revealed no significant dependence on probe levels [27]. In summary, the effect of increasing probe level on the tuning of SFOAE STCs or other FS measurements based on SFOAEs appears controversial.

After the first proposal of Kemp and Chum [19] that SFOAE STCs might be applied objectively to estimate FS, SFOAEs were showed to have similar tuning to human psychophysical measurements at low probe levels [28-30]. However, higher probe levels may lead to the saturation and nonlinear compression of the basilar membrane (BM), which is relevant to the FS at the cochlear level [32-34]. Therefore, how the tuning relationship between SFOAE STCs and PTCs varies with probe levels remains a question. To the best of our knowledge, although there were several studies about the tuning variation of SFOAE STCs or PTCs with increasing probe level, little investigation was published about the effect of the probe level on the tuning relationship for the FS estimation between the cochlear level (SFOAE STCs) and the behavioural level (PTCs). The aim of the present study is to investigate the influence of the probe level on the tuning of SFOAE STCs and PTCs. First, SFOAE STCs and PTCs were collected in normal-hearing subjects for frequencies centred at 1, 2, and 4 kHz at both low and moderate probe levels. Then, various parameters (Q_{10} , slopes, frequency shift and level at the tip) relevant to interpreting the shape and tuning characteristics were calculated for each method at different probe levels. Furthermore, the statistical analysis was conducted to determine the effect of the probe level on the relationship between the parameters of SFOAE STCs and PTCs.

Methods

Subjects

Fifteen young subjects (10 females, 5 males) aged from 20 to 30 years old (mean \pm standard deviation: 22 ± 3.26) participated in this study. Data were collected in 9 left ears and 6 right ears; the tested ear was randomly determined. All subjects were native Chinese speakers from Tsinghua University with normal hearing (<15 dB hearing level for octave frequencies of 250–8000 Hz). None had a history of hearing disorders or spontaneous otoacoustic emissions (SOAEs), which will interact with the SFOAE extraction [35] and PTC measurements [36], in \pm 300 Hz around the probe frequency. All subjects were given their written informed consent to participate and paid for their participation, in compliance with a protocol approved by the institutional review board at Tsinghua University (IRB00008273).

Instrumentation

All experiments were carried out with subjects comfortably sitting on a chair in a sound-attenuating booth, using the same instruments as described in detail in our previous paper [30]. In brief, stimuli were generated by an external soundcard (Fire face 800, RME, Haimhausen, German) and delivered to subjects by inserted earphones (ER-2, Etymotic Research, Elk Grove Village, IL, USA). Acoustic signals collected in the ear canal by a miniature microphone (ER-10B+, Etymotic Research, Elk Grove Village, IL, USA) with an amplification of 20 dB (ER-10B+ preamplifier, Etymotic Research, Elk Grove Village, IL, USA) were recorded by the soundcard. A monaural earplug which contained both earphones and microphone was inserted into the ear canal of the subject using a soft ear tip. In the detection of SFOAE STCs, low-frequency background noise was removed by a

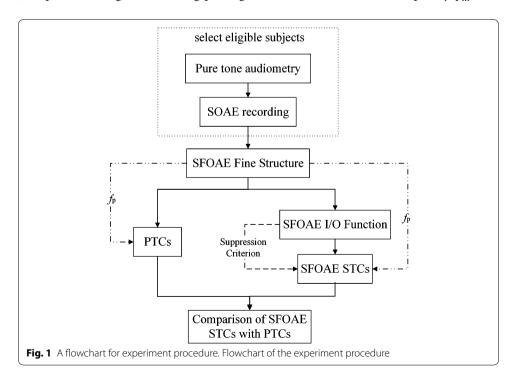
zero phase shift high-pass filter (cut-off frequency at 500 Hz). In the detection of PTCs, each subject was instructed to pay attention to the probe tone by pressing/releasing a USB handle button when the tone can/cannot be heard. The measurement system was calibrated with a Brüel and Kiær ear simulator type 4157.

Data collection

Data were collected within 6 hours (in three separate sections) for each subject at both low and moderate probe levels at 1, 2, and 4 kHz. Singular SFOAE STC recording lasted ~30 min for a frequency resolution of 10 points per octave and singular PTC recording lasted ~8 min. As shown in Fig. 1, the pure tone audiometry and SOAE recording were conducted firstly for subject selection. Then a high-resolution (40 Hz steps) scanning of SFOAE levels (represents the SFOAE fine structure) was performed to determine f_n , which is the frequency that can evoke the largest SFOAE within \pm 200 Hz relative to the nominal frequencies of 1, 2, and 4 kHz. The suppressor frequency (f_s) was 47 Hz below the f_n ensuring that each f_s was not the harmonic or subharmonic of the f_n . The suppressor level (L_s) was 70 dB SPL in SFOAE fine structure and SFOAE input/output (I/O) function test subsequently. SFOAE I/O function, defined as the SFOAE levels as a function of L_p (5–50 dB SPL in 5 dB steps) at a fixed f_p , was used to determine the suppression criterion (see details in section of Suppression Criterion) for SFOAE STC recordings. For ease of comparison, the same f_p and L_p were adopted in SFOAE STCs and PTCs tests. L_{D} s of 30 dB SPL and 50 dB SPL corresponding to low and moderate levels were used.

Psychophysical tuning curves

PTC is constructed of different masker levels that subject just cannot hear the pure tone (complete masking) in a tracking paradigm as a function of masker frequency ($f_{\rm m}$) at a



fixed $f_{\rm p}$ and $L_{\rm p}$. A repetition of the probe was 700-ms duration consisting 200-ms interval and 500-ms pure tone, and the rise/decay time of the pure tone was 20 ms. The number of repetitions was 350 for a total duration of 245 s. The masker was a 240-s narrowband noise and generated 5 s after the probe to enable subjects to recognize the target tone. The centre frequency of masker varied \pm 1 octave relative to the $f_{\rm p}$ slowly from low to high (upward sweep) or high to low (downward sweep). Its frequency bandwidth was .2 times of the $f_{\rm p}$ and less than 320 Hz. The masker level ($L_{\rm m}$) was increased/decreased at a fixed rate of 2 dB/s when subjects can/cannot hear the probe tone with a maximum of 80 dB SPL. The raw PTC was a jagged curve for both upward and downward sweeps. Two-point average smoothing [30] was utilized to find the trend and estimate the tip frequency. Subjects were trained for 5 min prior to the data recording to familiar with the test paradigm.

SFOAE STCs

SFOAE STC, obtained in an analogous way to PTC, is constructed for several critical $L_{\rm s}$ s adjusted until the predefined suppression criterion is met as a function of $f_{\rm s}$ at the fixed f_p and L_p . The $f_{\rm s}$ ranged from .5 f_p to 2.5 f_p at 1 kHz, but was limited to 1.75 f_p at 2 and 4 kHz because the $L_{\rm s}$ will saturate when $f_{\rm s}$ is near $2f_p$. SFOAE recording used six-section stimuli paradigm (see details in Fig. 2 of Gong et al. [30]) which was based on the two-tone suppression method of Brass et al. [20, 21]. The final result, a suppressed SFOAE, was the subtraction between the acoustic response to the probe alone and the probe in the presence of a suppressor tone.

Suppression criterion

In the detection of PTCs, we determined critical $L_{\rm m}$ based on subjects' subjective judgement that they can or cannot hear the probe tone. While in the detection of SFOAE STCs, we utilized a predefined suppression criterion to determine the critical $L_{\rm s}$. The suppression criterion represented the SFOAE suppression extent relative to the total SFOAE. For example, the criterion of -6 dB corresponds to an amplitude decreased by a factor of 1/2 [28]. If the amplitude in SFOAE I/O function test still had enough signal to noise ratio (SNR ≥ 10 dB) when SFOAE suppressed 50 %, -6 dB will be chosen as a criterion. If not, the weaker criterion will be more appropriate. A criterion of -6 dB was chosen for all subjects in order to make the comparison under the same suppression condition.

Data analysis

To calculate the characteristic parameters of SFOAE STCs and PTCs, their low-frequency sides (suppressor/masker frequency $< f_p$, referred here as LF; suppressor/masker frequency, referred here as $f_{\rm s,m}$), high-frequency sides ($f_{\rm s,m} > f_p$, referred here as HF) and their low-frequency tails ($f_{\rm s,m} \ll f_p$, with evident shallower slope than LF, referred here as tail) were fitted with regression lines (fitted lines, tail, LF and HF are pointed in the inserted figure of Fig. 2). The point adjacent the tail and LF side was defined as the boundary point which had the biggest slope difference on the tail and LF side. Characteristic parameters (Q_{10} , slopes, frequency shift at the tip and level at the tip) of both SFOAE STCs and PTCs at different probe levels were calculated from the fitted curves.

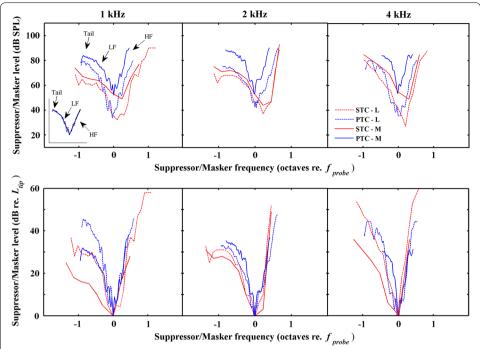


Fig. 2 Individual raw data for SFOAE STCs and PTCs. The tuning curves are grouped in columns from left to right according to the f_p s of tuning curves (centred at 1, 2, and 4 kHz respectively). SFOAE STCs: *red lines*; PTCs: *blue lines*. Low probe levels: *dotted lines*; moderate probe levels: *solid lines*

In order to assess the influence of $L_{\rm p}$ on the relationship between SFOAE STCs and PTCs, the ratio/difference was calculated between each parameter of the two methods at different $L_{\rm p}$ s.

Means (Ms) and standard deviations (SDs) were provided first to compare the variation tendency of parameters for SFOAE STCs and PTCs at different $L_{\rm p}$ s and $f_{\rm p}$ s separately. Then data were analysed with nonparametric statistics (two-factor Scheirer-Ray-Hare test [37]) as most of them did not satisfy the homogeneity of variance requirements. In addition, the Pearson correlation coefficient was utilized to access the correlation between (1) two methods of estimating FS at both low and moderate $L_{\rm p}$ s (correlation analysis was corrected in a way described in Bland et al. [38]); (2) repeated measures within one subject. P < .05 was considered statistically significant. All data analyses were conducted in SPSS 23 software (SPSS, Inc., Chicago, IL).

Results

Figure 2, top row, illustrates an example of individual raw data for SFOAE STCs (Fig. 2, red lines) and PTCs (Fig. 2, blue lines) at low (exhibited as 'L' in all figure legends; Fig. 2, dotted lines) and moderate (exhibited as 'M' in all figure legends; Fig. 2, solid lines) probe levels at $f_{\rm p}$ s centred at 1, 2, and 4 kHz (from left to right column). Both SFOAE STCs and PTCs had a similar V-shape with a tail at both low and moderate levels. At both low and moderate $L_{\rm p}$ s, the $f_{\rm tip}$ shifted slightly higher than $f_{\rm p}$ for SFOAE STCs whereas the $f_{\rm tip}$ of PTCs coincided with $f_{\rm p}$. The $L_{\rm s,m}$ at the tip was larger at the moderate $L_{\rm p}$ than that at low $L_{\rm p}$ for both SFOAE STCs and PTCs. The level differences at the tip between SFOAE

STCs and PTCs were larger with increasing $L_{\rm p}$. In order to show the sharpness of tuning more intuitively, we normalized the tuning curves to their tips (Fig. 2, bottom row). PTCs were tuned more sharply at the moderate $L_{\rm p}$ than that at low $L_{\rm p}$ at 2 and 4 kHz but broadly at 1 kHz. Nevertheless, the SFOAE STCs were tuned more broadly at the moderate $L_{\rm p}$ than that at low $L_{\rm p}$. For both low and moderate $L_{\rm p}$ s, LF slopes for SFOAE STCs were shallower than those for PTCs but HF slopes were similar for SFOAE STCs compared with PTCs.

Sharpness of tuning

Conventionally, we adopt Q_{10} value to characterize the sharpness of tuning derived from SFOAE STCs and PTCs. It is a dimensionless quality factor relevant to FS, calculated as the ratio between the tip frequency of the tuning curve and the bandwidth at 10 dB above the tip $(Q_{10}=f_{\rm tip}/{\rm BW10})$. Figure 3a illustrates mean Q_{10} values of SFOAE STCs and PTCs at both low and moderate probe levels as a function of $f_{\rm p}$. For SFOAE STCs, mean Q_{10} value at the moderate $L_{\rm p}$ was smaller than that at low $L_{\rm p}$. Whereas for PTCs, mean Q_{10} value at the moderate $L_{\rm p}$ was larger than that at low $L_{\rm p}$, at least at 2 and 4 kHz. Mean Q_{10} values of both SFOAE STCs and PTCs increased as a function of $f_{\rm p}$ at the moderate level but had a small notch at 2 kHz at the low $L_{\rm p}$. From Fig. 3b we can observe that, Q_{10} values of SFOAE STCs were significantly positively correlated with the Q_{10} values of PTCs at both low and moderate $L_{\rm p}$ s (L: r=.590, P=.021; M: r=.606, P=.017).

 Q_{10} ratios are Q_{10} values of PTCs divided by the Q_{10} values of SFOAE STCs for each subject which express the relationship of tuning between PTCs and SFOAE STCs. Figure 3c illustrates mean Q_{10} ratios between SFOAE STCs and PTCs as a function of f_p . As shown in Fig. 3c, mean Q_{10} ratios were larger at the moderate L_p (M=1.59, SD=.34) than that at low L_p (M=1.06, SD=.17), which meant that PTCs were more sharply tuned than SFOAE STCs at the moderate L_p . It was also shown that the mean Q_{10} ratios at the low L_p were constantly around 1, whilst the mean Q_{10} ratios at the moderate L_p were most distributed around 1.5, and they were independent of f_p at both L_p s. Additionally, a smaller variability of Q_{10} ratios was observed at the low L_p . Two-factor Scheirer-Ray-Hare test revealed that L_p had a significant effect on the Q_{10} ratios between SFOAE STCs and PTCs (df=1, H=12.4379, P=.0004) and f_p had no significant effect (df=2, H=.0211, P=.9895). No interactions were found between the factors of level and frequency (df=2, H=.3225, P=.8511).

Slopes of tuning curves

The slopes of tails, low- and high- frequency sides are given by the quotient of the suppressor/masker level difference ($\Delta L_{\rm s,m}$) and the normalized frequency difference ($\Delta f_{\rm s,m}/f_{\rm p}$) with unit of dB/octave:

$$slopes = \frac{\Delta L_{s,m}}{\log_2(\Delta f_{s,m}/f_p)} \tag{1}$$

Slope of tuning curve describes the tuning characteristic on each frequency side, steeper slope indicates sharper tuning. In order to compare the difference between the slope of SFOAE STCs and PTCs at different probe levels, the slope difference using the absolute value for each subject is also calculated as the slope of PTCs minus the slope of

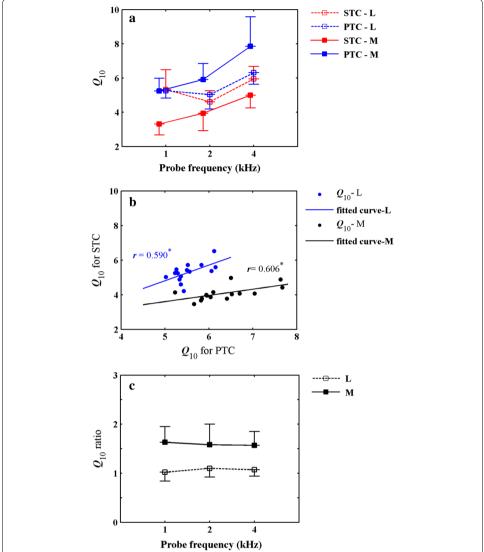


Fig. 3 a Mean Q_{10} values of SFOAE STCs and PTCs as a function of f_p . Mean Q_{10} values of SFOAE STCs (red lines) and PTCs (blue lines) as a function of f_p at L_p s of 30 dB SPL (dotted lines) and 50 dB SPL (solid lines). Error bars denote \pm 1 SD. **b** Correlation between Q_{10} values of SFOAE STCs and PTCs. Original data (dots) and linear fitted curves (solid lines) are presented at the L_p s of 30 dB SPL (blue) and 50 dB SPL (black). Correlation coefficients are shown adjacent to the fitted curves. One stars indicate significant level at .05. **c** Mean Q_{10} ratios between SFOAE STCs and PTCs as a function of f_p . Low probe levels: dotted lines; moderate probe levels: solid lines. Error bars denote \pm 1 SD

SFOAE STCs. Figure 4 illustrates the mean values of slope and slope difference (absolute value) for tail, LF and HF between SFOAE STCs and PTCs at both low and moderate probe levels as a function of f_p .

Tail slopes (Fig. 4a, b). The mean slopes of tails for SFOAE STCs and PTCs were smaller at the moderate $L_{\rm p}$ than that at low $L_{\rm p}$ except for the SFOAE STCs at 4 kHz. At low probe levels, mean tail slopes of SFOAE STCs and PTCs were decreasing as $f_{\rm p}$ increasing. At moderate probe levels, mean tail slopes of both SFOAE STCs and PTCs were getting smaller at $f_{\rm p}$ from 1 to 2 kHz, but larger at $f_{\rm p}$ from 2 to 4 kHz especially for SFOAE STCs. Although the mean tail slope difference (Fig. 4b) was larger at the low $L_{\rm p}$ (M=20.88,

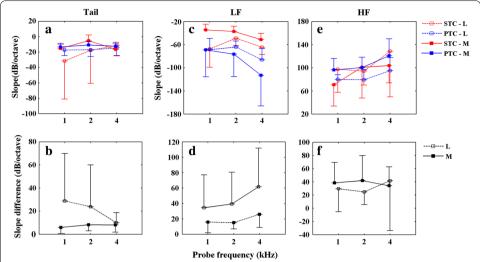


Fig. 4 Mean values of slope and slope difference for SFOAE STCs and PTCs. Mean values of slopes ($top\ row$) and slope differences ($bottom\ row$) of tail, LF and HF (from $left\ to\ right\ column$) for SFOAE STCs ($red\ lines$) and PTCs ($blue\ lines$) as a function of f_p at L_p s of 30 dB SPL ($dotted\ lines$) and 50 dB SPL ($solid\ lines$). $Error\ bars\ denote\ \pm 1\ SD$

SD=32.32) than that at moderate $L_{\rm p}$ (M=7.39, SD=5.61), it did not reach significance. Two-factor Scheirer-Ray-Hare test revealed that neither $L_{\rm p}$ nor $f_{\rm p}$ had a significant effect on the tail-slope differences (absolute value) between SFOAE STCs and PTCs ($L_{\rm p}$: df=1, H=1.5209, P=.2175; $f_{\rm p}$: df=2, H=.1590, P=.9236). No interactions were found between the factors of level and frequency (df=2, H=.6875, P=.7091).

LF slopes (Fig. 4c, d). For SFOAE STCs, the mean LF slope was smaller at the moderate $L_{\rm p}$ than that at low $L_{\rm p}$. Whereas for PTCs, the mean LF slope was larger at the moderate $L_{\rm p}$ than at low $L_{\rm p}$. In addition, the variability for LF slopes of PTCs increased at higher $L_{\rm p}$. At low probe levels, mean LF slopes of both SFOAE STCs and PTCs were getting smaller at $f_{\rm p}$ from 1 to 2 kHz, but larger at $f_{\rm p}$ from 2 to 4 kHz. At moderate probe levels, mean LF slopes of both SFOAE STCs and PTCs were larger with increasing $f_{\rm p}$. The mean LF slope of PTCs was larger than SFOAE STCs at both low and moderate levels. Although the mean LF slope difference (Fig. 4d) was larger at the moderate $L_{\rm p}$ (M=45.12, SD=45.49) than that at low $L_{\rm p}$ (M=18.93, SD=14.15), it did not reach significance. Two-factor Scheirer-Ray-Hare test revealed that neither $L_{\rm p}$ nor $f_{\rm p}$ had a significant effect on the LF-slope differences (absolute value) between SFOAE STCs and PTCs ($L_{\rm p}$: df=1, H=.9758, P=.3232; $f_{\rm p}$: df=2, H=1.5925, P=.4510), and no interactions were found between the factors of level and frequency (df=2, H=.0449, P=.9778).

HF slopes (Fig. 4e, f). The mean HF slopes for PTCs were larger at higher $L_{\rm p}$. While for SFOAE STCs, mean HF slopes were smaller at higher $L_{\rm p}$ at 1 and 4 kHz but larger at 2 kHz. At low probe levels, mean HF slopes of both SFOAE STCs and PTCs were smaller at $f_{\rm p}$ from 1 to 2 kHz but larger at $f_{\rm p}$ from 2 to 4 kHz. At moderate probe levels, the mean HF slope of PTCs and SFOAE STCs were larger as $f_{\rm p}$ increasing. The mean HF slope difference (Fig. 4f) was larger at moderate $L_{\rm p}$ (M=38.12, SD=32.22) than that at low $L_{\rm p}$ (M=31.98, SD=48.61), which resembled the trends of LF slope differences. This agreed the observation that Q_{10} ratios were close to one at low probe levels.

Two-factor Scheirer-Ray-Hare test revealed that neither $L_{\rm p}$ nor $f_{\rm p}$ had a significant effect on the HF-slope differences (absolute value) between SFOAE STCs and PTCs ($L_{\rm p}$: df=1, H=.7174, P=.3970; $f_{\rm p}$: df=2, H=.0921, P=.9550). No interactions were found between the factors of level and frequency (df=2, H=.0291, P=.9856).

Frequency shift at the tip

Frequency shift at the tip is given by the quotient of the frequency shift at the tip (relative to the probe) and f_p , with unit of %:

Frequency shift of tip =
$$\frac{f_{tip} - f_p}{f_p}$$
 (2)

In order to compare the difference between the $f_{\rm tip}$ shift of SFOAE STCs and PTCs at different probe levels, the $f_{\rm tip}$ shift difference using the absolute value for each subject is calculated as the $f_{\rm tip}$ shift of PTCs minus SFOAE STCs. Mean values of frequency shift and frequency shift difference at the tip for SFOAE STCs and PTCs at both low and moderate probe levels as a function of $f_{\rm p}$ are illustrated in Fig. 5a and b respectively. The SFOAE STCs shift was higher at the moderate $L_{\rm p}$ than that at lower $L_{\rm p}$ except for 2 kHz, while the tips of PTCs always coincided with $f_{\rm p}$ independent of $f_{\rm p}$ and $L_{\rm p}$ (Fig. 5a). The mean $f_{\rm tip}$ shift difference at the moderate $L_{\rm p}$ was larger than that at low $L_{\rm p}$ (Fig. 5b). Two-factor Scheirer-Ray-Hare test revealed that neither $L_{\rm p}$ nor $f_{\rm p}$ had a significant effect on the frequency shift differences (absolute value) between SFOAE STCs and PTCs ($L_{\rm p}$:

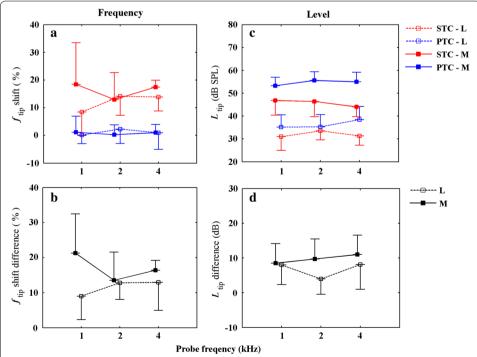


Fig. 5 Mean values of $f_{\rm tip}$ shift/ $L_{\rm tip}$ and $f_{\rm tip}$ -shift/ $L_{\rm tip}$ difference for SFOAE STCs and PTCs. Mean values of $f_{\rm tip}$ shift and $f_{\rm tip}$ shift difference (**a, b**) and $L_{\rm tip}$ and $L_{\rm tip}$ difference (**c, d**) for SFOAE STCs and PTCs as a function of $f_{\rm p}$ at the $L_{\rm p}$ S of 30 dB SPL (dotted lines) and 50 dB SPL (solid lines). Red lines: SFOAE STCs; blue lines: PTCs. Error bars denote ± 1 SD

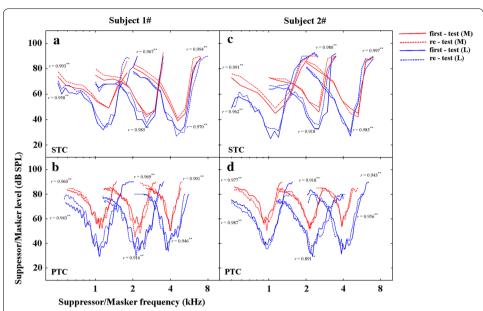


Fig. 6 First and repeated measurements of SFOAE STCs and PTCs in two subjects. First measurements (*solid lines*) and repetition (*dotted lines*) for SFOAE STCs (*top row*) and PTCs (*bottom row*) at different f_p s and L_p s. Low probe levels: *blue lines*; moderate probe levels: *Red lines*. Correlation coefficients are shown adjacent to the corresponding tuning curves. *Double stars* indicate significant level at .01

df = 1, H = 3.0495, P = .0808; f_p : df = 2, H = .3401, P = .8436), and no interactions were found between the factors of level and frequency (df = 2, H = 1.4371, P = .4875).

Level at the tip

In order to compare the difference between the level at the tip $(L_{\rm tip})$ of SFOAE STCs and PTCs at different probe levels, the $L_{\rm tip}$ difference using the absolute value for each subject is calculated as the $L_{\rm tip}$ of PTCs minus SFOAE STCs. Mean values of level and level difference at the tip for SFOAE STCs and PTCs at both low and moderate probe levels as a function of $f_{\rm p}$ are illustrated in Fig. 5c, d respectively. Both the mean suppressor/masker levels at the tip for SFOAE STCs and PTCs were larger with increasing $L_{\rm p}$ and were independent of $f_{\rm p}$ (Fig. 5c). As $L_{\rm p}$ increasing, the mean $L_{\rm tip}$ difference increased as well (Fig. 5d). Two-factor Scheirer-Ray-Hare test revealed that neither $L_{\rm p}$ nor $f_{\rm p}$ had a significant effect on the $L_{\rm tip}$ differences (absolute value) between SFOAE STCs and PTCs ($L_{\rm p}$: df=1, H=1.7440, P=.1829; $f_{\rm p}$: df=2, H=.7835, P=.6759), and no interactions were found between the factors of level and frequency (df=2, H=.7892, P=.6739).

Repeatability

Pairs of SFOAE STCs and PTCs in two subjects for frequencies centred at 1, 2, and 4 kHz were first measured (Fig. 6, solid lines) and re-measured (Fig. 6, dotted lines) after 26 days at both low (Fig. 6, blue lines) and moderate (Fig. 6, red lines) probe levels to verify the test-retest reliability. For SFOAE STCs, two curves of the original and the repetition across two subjects were correlated significantly (P < .001, the correlation coefficients are indicated adjacent to the corresponding tuning curves in Fig. 6). The difference between the repetition and the first measurement at corresponding f_p was

Table 1 The trends for changes in all parameters of SFOAE STCs and PTCs

Items			L_p (ranging from low to moderate)			F _p (ranging from 1 to 2 kH/ from 2 to 4 kHz)	
			$F_p = 1 \text{ kHz}$	$F_p = 2 \text{ kHz}$	$F_p = 4 \text{ kHz}$	Low	Moderate
Tuning	Q ₁₀	SFOAE STCs	_	_	_	-/+	+/+
		PTCs	\approx	+	+	-/+	+/+
		Ratio	+	+	+	+/≈	\approx / \approx
Slopes	Tail	SFOAE STCs	_	_	+	-/-	-/+
		PTCs	_	_	_	≈/-	-/+
		Difference	_	_	_	-/-	+/≈
	LF	SFOAE STCs	_	_	_	-/+	+/+
		PTCs	\approx	+	+	-/+	+/+
		Difference	+	+	+	+/+	+/+
	HF	SFOAE STCs	_	+	_	-/+	+/+
		PTCs	+	+	+	-/+	+/+
		Difference	+	+	_	-/+	+/-
Shift at the tip	Frequency	SFOAE STCs	+	_	+	+/≈	-/+
		PTCs	+	_	\approx	+/-	-/+
		Difference	+	+	+	+/≈	-/+
	Level	SFOAE STCs	+	+	+	+/-	-/-
		PTCs	+	+	+	≈/+	+/≈
		Difference	+	+	+	-/+	+/+

[&]quot;+" represents increasing, "-" represents decreasing, " \approx " represents little variation (variation \leq 5 %). The variation range for f_p is divided into two sections which is ranging from 1 to 2 kHz and from 2 to 4 kHz, respectively

calculated, with a grand average of .9 dB. For PTCs, two curves of the first and the repetition across two subjects were correlated significantly at the same condition (P < .001, the correlation coefficients are indicated adjacent to the corresponding tuning curves in Fig. 6). The grand average of difference between the repetition and the first measurement at corresponding $f_{\rm p}$ was -.6 dB. Our results were in consistence with the previous test-retest data for SFOAE STCs reported by Keefe et al. [28] and Charaziak et al. [29] with the grand average value of .6 and -.8 dB respectively. Consequently, the measurements of SFOAE STCs and PTCs for an individual ear were repeatable in our study within an acceptable variation.

Discussion

The present study compared the shape and tuning of SFOAE STCs and PTCs at both low and moderate $L_{\rm p}{\rm s}$ in 15 normal-hearing subjects at frequencies around 1, 2, and 4 kHz. The trends for changes in the various tuning parameters are shown in Table 1 across the probe frequencies and levels. The results demonstrated that $L_{\rm p}$ had a significant effect on the sharpness of tuning between the two methods. The tuning of SFOAE STCs was positively correlated with the tuning of PTCs at both low and moderate $L_{\rm p}{\rm s}$. Sharpness of tuning for SFOAE STCs was similar to the PTCs' at lower probe levels. However, as probe level grows, SFOAE STCs were tuned broadly whereas PTCs were tuned sharply.

Tuning relationship between SFOAE STCs and PTCs

Our results revealed that the asymmetry shape of SFOAE STCs and PTCs were existing at both low and moderate L_{ps} (Fig. 2), which agreed with other observations of SFOAE STCs [28, 29] and PTCs [13]. We also observed that the tuning of SFOAE STCs was positively correlated with the tuning of PTCs at both low and moderate L_p s (Fig. 3b). It reveals that shaper tuning of SFOAE STCs will predict sharper tuning of PTCs at both low and moderate $L_{\rm p}$ s. Although the correlation between the Q_{10} values of two methods seems better at higher probe levels from the aspect of correlation coefficient (r = .590for low probe levels, r = .606 for moderate probe levels), the Q_{10} values of PTCs were larger than SFOAE STCs at the moderate $L_{\rm p}$ and they were not equivalent (Table 1; Fig. 3c). At lower L_p , the SFOAE STCs indicated similar tuning to PTCs which was consistent with previous studies [28, 29]. The tuning similarity at lower levels suggests that PTCs are shaped by cochlear mechanics to a large extent at lower $L_{\rm p}$. Additionally, Q_{10} ratio between SFOAE STCs and PTCs was also independent of f_p at different L_p s. Moreover, larger variability of the Q_{10} ratio at the moderate $L_{\rm p}$ compared with the low $L_{\rm p}$ was observed in our study (Fig. 3c). It indicates that, at lower L_p s, SFOAE STCs appear more reliable to replace PTCs for FS estimation from the aspect of individual. As probe level grows, SFOAE STCs were tuned broadly whereas PTCs were tuned sharply. It may partially due to the involvement of higher auditory processing stages for PTCs. Although PTCs are shaped by cochlear mechanics to a large extent, it also involves signal processing in the central auditory system.

The opposite effect of probe level on SFOAE STC and PTC tuning

Our results suggested that the SFOAE STCs represented higher acuity at low $L_{\rm p}$ than that at moderate $L_{\rm p}$, which was in consistent with the observation in humans at 4 kHz [29] and in chinchillas [27]. The FS estimate based on SFOAEs group delay was also observed decreasing tuning with increasing $L_{\rm p}$ ranging from 40 to 70 dB SPL in an approximately frequency-independent manner in humans (see Figure 8 of Schairer et al. [31]). Nonlinearity of BM mechanics [34] is likely responsible for the broader tuning of SFOAE STCs at higher $L_{\rm p}$ in our study as the motion of BM may exhibit less sensitive response to the higher probe level compared with low probe level and lead to poor tuning. However, little variation of $Q_{\rm ERB}$ as $L_{\rm p}$ increasing was observed in human SFOAE STCs as reported by Keefe et al. (see Figure 9 of [28]). Additionally, the sharpness of tuning for SFOAE STCs at 1 kHz reported by Charaziak et al. (see Figure 9 of [29]) was not in accordance with our finding at 1 kHz. This difference may results from the different probe levels (SL vs. SPL) and different definitions for suppression criterions (dB SPL vs. dB) as well as individual differences.

 Q_{10} values of PTCs in our study suggested increasing tendency with the L_p changing from 30 to 50 dB SPL except for $f_{\rm p}$ at 1 kHz (Table 1; Fig. 3a), which was consistent with the simultaneous-masking PTC data ($L_{\rm p}$ in the same variation range with our study) of Stelmachowicz and Jesteadt who used narrow-band noise as a masker [16]. A similar tendency in changes in sharpness of tuning was also observed in the investigation of Oxenham et al. who adopted notched-noise as a masker even though they attributed this tendency to the individual differences in FS (see Figure 7 in Oxenham et al. [39]). In contrast, the forward-masking PTC was broader at higher probe levels [15]. The increasing

 Q_{10} at higher $L_{\rm p}$ in our study can be explained by the observation of Nelson et al. [40] that the presence of the combination-tone [41, 42] and other off-frequency listening cues essentially steepened the LF slopes at high probe levels at 2 and 4 kHz, resulting in shaper estimates of tuning. Nelson et al. [40] also observed that PTCs at higher $L_{\rm p}$ were influenced very little by the combination-tone at the low probe frequency (1 kHz). Thus we suspect that the little variation of Q_{10} for PTCs at 1 kHz with increasing $L_{\rm p}$ in our study may be related to the combination tones. Additionally, individual differences are partially contributed to the little variation of tuning at 1 kHz (e.g., individual PTC is broaden at 1 kHz at the higher probe levels in Fig. 2). The similar finding that individual PTC of $f_{\rm p}$ at 1 kHz represented broad tuning at the higher $L_{\rm p}$ was also observed in other investigations [13, 14].

Slopes of tuning curves

Our results suggested that slope difference of tuning curve was larger at higher probe levels with the exception of tail slope (Table 1; Fig. 4b, d and f). Flatten LF slope at higher probe levels for SFOAE STCs was observed in our study (Table 1; Fig. 4c), whereas Charaziak et al. reported that the LF slope was not changing significantly with the increasing probe level [29]. HF slopes of SFOAE STCs were smaller at the higher $L_{\rm p}$ at 1 and 4 kHz but larger at 2 kHz (Table 1; Fig. 4e). It appears a non-monotonic function and little variation with increasing $L_{\rm p}$.

For PTCs, tail slope decreased slightly with increasing $L_{\rm p}$ which agreed with the finding of Nelson et al. [15]. Larger LF slope of PTCs at the higher $L_{\rm P}$ at 2 and 4 kHz in our results was consistent with the observation of Stelmachowicz and Jesteadt ($L_{\rm p}$ varying from 30 to 50 dB SPL) [16]. In contrast, some investigators reported that the LF slope was flatten at the higher $L_{\rm p}$ [14, 15]. LF slopes of PTCs at 1 kHz revealed little variation with increasing $L_{\rm p}$ in the present study (Table 1; Fig. 4c), while the previous reported data indicated that LF slopes of PTCs at 1 kHz decreased with increasing $L_{\rm p}$ [13, 44]. The increasing variability of LF slope for PTCs at higher probe levels in our study (Fig. 4c) was also observed by Stelmachowicz and Jesteadt [16]. It is probably related to the detection of combination tones or combination band cues [40]. The HF slopes of PTCs were larger at the higher $L_{\rm p}$ (Table 1; Fig. 4e). Although this finding was consistent with the previous study [16], others indicated that the HF slope was independent of $L_{\rm p}$ [13, 15].

Frequency shift at the tip

Our results suggested that the $L_{\rm p}$ had no significant effect on the $f_{\rm tip}$ shift difference between the two tuning curves. The $f_{\rm tip}$ of SFOAE STCs was always shifted 1.1 ~ 1.2 f_p regardless of $L_{\rm p}$, which agreed with other SFOAE studies [21, 28]. Other types of otoacousitc emission (OAE) STCs were also observed that they were tuned to a frequency higher than the probe frequency [44, 45]. It complied with the observation of the characteristic of two-tone suppression mechanism in BM [46] and auditory nerve fibres [47] that the maximum sensitive frequency was slightly higher than $f_{\rm p}$. Thus the two-tone suppression may be contribute to the tip shift of SFOAE STCs. The $f_{\rm tip}$ of SFOAE STC shifted higher relative to the $f_{\rm p}$ with increasing $L_{\rm p}$ (the mean frequency shifts at the tip were 12.16 % at the low $L_{\rm p}$ and 16.26 % at the moderate $L_{\rm p}$). This increasing size of $f_{\rm tip}$ shift at the higher $L_{\rm p}$ observed in our study was consistent with Charaziak et al. [30]. It

implicates that the two-tone suppression mechanism may be affected by the probe level to a large extent. In addition, the shift at SFOAE STCs' tip may be presumably related to SFOAE being generated slightly basal to the characteristic place of the probe [25, 29, 48]. PTCs in our study always coincided with f_p regardless of L_p , which was in agreement with the previous study [43]. It supports the phenomenon that PTCs have a tip close to the signal frequency in normal-hearing subjects. However, Carney et al. [9] found that the $f_{\rm tip}$ shifted toward slightly lower frequencies as probe level increasing. The inconsistence in that literature may due to the methodological difference for determining a PTC.

Level at the tip

Our results suggested that the $L_{\rm p}$ had no significant effect on the $L_{\rm tip}$ difference between SFOAE STCs and PTCs. At both low and moderate $L_{\rm p}$ s, the suppressor/masker level required at a characteristic frequency was larger for PTCs than SFOAE STCs. This difference is likely due to the different suppression/masking criterion between SFOAE STC (50 % suppressed) and PTC (fully masking). Additionally, the suppressor/masker levels of tip for both SFOAE STCs and PTCs were increasing with $L_{\rm p}$ (Table 1; Fig. 5c), which was consistent with other observations of SFOAE STCs [28] and PTCs [13, 43].

Application and limitation

At low probe levels, the Q_{10} ratio was close to one for all tested frequency suggesting that the PTCs and SFOAE STCs were equivalent measures of FS. As far as the method for data collected in our study, SFOAE STCs take longer to record compared with PTCs. Even though SFOAE STCs can evaluate FS objectively, time-inefficiency of the measurements is one limitation for clinical use. Previous studies reported that SFOAE STCs can be measured reliably within 10–15 min [27, 29], but it still lasts longer than PTCs. Therefore, it is crucial to investigate a fast method for SFOAE STCs in the further study. The combination tones and off-frequency listening detection may emerge for PTCs at higher probe levels in normal-hearing listeners whereas it does not exist in hearing-impaired listeners [40]. Consequently, the opposite effect of $L_{\rm p}$ on the SFOAE STCs and PTCs tuning indicates that SFOAE STCs are more suitable for FS evaluation compared with PTCs in normal-hearing listeners for whom higher probe levels are necessary. Despite of difficulties for SFOAE STCs in obtaining satisfactory SNR at the higher L_p due to the on-band noise problem observed in the study of Charaziak et al. [49], Charaziak et al. [49] also predicted that tuning evaluation method based on SFOAE with an alternative method (e.g., amplitude-modulated SFOAE [50]) may be more reliable at higher probe levels. Thus, the reliability of SFOAE STCs or other FS measurement based on SFOAEs in abnormal-hearing listeners is required for further investigation. Additionally, the data in the present study may provide a basis for comparison between normal-hearing listeners and hearing-impaired listeners for whom higher-level probes are generally necessary.

Conclusions

In this study, the effect of probe level on the relationship of tuning and shape between SFOAE STCs and PTCs in normal-hearing subjects was compared. The results showed that the probe level had a significant effect on the tuning relationship between the two methods. At low probe levels, SFOAE STC seems a good alternative measurement of

PTC for FS assessment. However, at moderate probe levels, SFOAE STCs were broader whereas PTCs were sharper and they were not equivalent. SFOAE STCs may represent cochlear FS better than PTCs because they are not biased by higher levels auditory processing.

Abbreviations

FS: frequency selectivity; HF: high-frequency side; LF: low-frequency side; PTC: psychophysical tuning curves; SD: standard deviation; SFOAE: stimulus frequency otoacoustic emission; SPL: sound pressure level; STC: suppression tuning curve.

Authors' contributions

QG conceived and designed the research. YW recorded and analysed the data. QG and YW wrote and revised the manuscript. TZ revised part of the manuscript. All authors read and approved the final manuscript.

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Competing interests

The authors declare that they have no competing interests.

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